

PTO/PCT Rec'd 25 JUL 2001
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

BOX PCT

COMPLETION
For PCT Cases Only

In re PATENT APPLICATION of

Inventor(s): William Wade et al.

Appln. No.: 09 720,078
Series Code ↑ Serial No. ↑

Atty. Dkt. P 0276517
M#

Client Ref

National Phase Field

Based on PCT US99 | 12825
↑ Country Code & Year

Attn: Application Division

Title: Methods and Compositions for Modulating Antigen-Specific
Immunological (Humoral) Responses by Targeting Such
Antigen to APCs in Conjunction with Anti-CD40 Ligand
Administration

Date: July 25, 2001

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept
the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) copy attached not yet received
2. Signed Declaration Originals (2) Facsimile/Copy with spec/claims attached
3. Translation of the International Application into English including:
 - a. Request;
 - b. Abstract
 - c. pgs. Spec. and Claims;
 - d. Translation verification
 - e. sheets Drawing which are: informal formal of size A4 11"
4. Copy of International Search Report (ISR) attached (page(s))
 - a. plus Annex of family members (page(s))
5. Information Disclosure Statement including
 - a. From PTO-1449 listing documents
 - b. Copies of document(s) listed on Form PTO-1449
 - c. A concise explanation of ISR references is given in the ISR
6. Assignment and cover sheet. Please return the recorded assignment to the undersigned.
7. Copy of Power to international application agent.
8. (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. Formal Drawings: sheet(s) informal; formal of size: A4 11"
10. Please immediately start national examination procedures (35 USC 371(f))

11. Attached:12. Preliminary Amendment:13. Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:

14. Calculation of remaining fees due (if any): based on amended claim(s) per above item

12 (above) or item(s) in PAT-112 (filed previously) 12 14 17 25

15. CLAIMS FEES previously paid paid herewith as follows:15A. Small Entity Statement Herewith Previously Filed

			Large/Small Entity	Fee Code
16. Total Effective Claims		minus 20 =	x \$18/\$9	966/967
17. Independent Claims		minus 3 =	x \$80/\$40	964/965
18. If any proper multiple dependent claim (ignore improper) is present,			\$270/\$135	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now			\$130/\$65	154/254
			SUBTOTAL	\$65
20.				
21. Original due date: February 29, 2001				
22. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =	+945	115/215
	(2 mos)	\$390/\$195 =		116/216
	(3 mos)	\$890/\$445 =		117/217
	(4 mos)	\$1390/\$695 =		118/218
	(5 mos)	\$1890/\$945 =		128/228
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee		\$130	+0	156
24. If "assignment" box 6 is X'd, add recording fee		\$40	+0	581
			TOTAL FEE ENCLOSED =	\$1,010

25.

(Our Deposit Account No. 03-3975)
 (Our Order No. 021033 | 0276517
 C# | M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.
 This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
 Intellectual Property Group

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McLean, VA 22102

T: (703) 905-2000

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